

10/522206  
DT01 Rec'd PCT/PTT 19 JAN 2005  
VBW 5648  
PATENT

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Wilfried Hofmann  
Serial No. NOT YET ASSIGNED  
Filed January 19, 2005  
For HEAT-EXCHANGING DEVICE

January 19, 2005

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VIRGINIA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R.

\* 1.56, applicant submits the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

\* Applicant submits herewith copies of the foreign patent documents.

Respectfully submitted,



Paul I. J. Fleischut, Reg. No. 35,513  
SENNIGER POWERS  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

PIF/leb  
\*Enclosures

Express Mail Label No. EV 455480863 US  
Mail Stop Amendment

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	NOT YET ASSIGNED
Filing Date	January 19, 2005
Confirmation Number	NOT YET ASSIGNED
First Named Inventor	Wilfried Hofmann
Group Art Unit	NOT YET ASSIGNED
Examiner Name	NOT YET ASSIGNED

Sheet	1	of	2	Attorney Docket No.	VBW 5648
-------	---	----	---	---------------------	----------

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
	1	4,859,805		Kawakami, et al.	08/22/1989
	2	5,787,975		Grenier, et al.	08/04/1998
	3	6,067,227		Katsui, et al.	05/23/2000

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)			
	4	DE	100 41 829	A1	Hofmann	03/21/2002	A
	5	DE	92 14 061	U1	Kremer	10/17/1992	
	6	DE	102 33 736	B3	Hofmann	04/15/2004	A
	7	DE	39 29 004	A1	Muellejans, et al.	03/07/1991	A
	8	DE	38 22 890	A1	Berger, et al.	09/28/1989	A
	9	DE	198 13 119	A1	Friedrich, et al.	10/07/1999	A
	10	DE	196 19 060	A1	Lang, et al.	11/20/1997	A
	11	EP	0 308 576	A2	Kawakami, et al.	03/29/1989	A

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	NOT YET ASSIGNED 10/522206
				Filing Date	January 19, 2005
				Confirmation Number	NOT YET ASSIGNED
				First Named Inventor	Wilfried Hofmann
				Group Art Unit	NOT YET ASSIGNED
				Examiner Name	NOT YET ASSIGNED
Sheet	2	of	2	Attorney Docket No.	VBW 5648

	12	DE	39 29 004	A1	Muellejans, et al.	03/07/1991	A
	13	JP	11-186762	A	Sauekku, et al.	07/09/1999	✓ A

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.